

Fee Schedule

Initial

\$400	Conversion and take-over of existing plan (1-9 active participants)
\$750	Conversion and take-over of existing plan (10 or more active participants)
\$1,200	Documentation and Implementation of 401(k), 403(b), and Profit Sharing Plan

Ongoing

	PLAN:
\$165	Monthly base administration fee for a 401(k) Profit Sharing Plan or 403(b)
\$115	Monthly base administration fee for a Profit Sharing or Money Purchase Plan
	PARTICIPANT:
\$3	Monthly participant fee per active account 401(k) or 403(b)
\$2	Monthly participant fee per active account Profit Sharing Plan or Money Purchase Pension Plan

Optional

\$10	Monthly fee for 401(k) Safe Harbor feature
\$30	Monthly fee for New Comparability contribution allocation
\$10	Monthly fee for personal brokerage account (per account)

Miscellaneous (if applicable)

\$350/yr	Large Plan Form 5500 preparation and related schedules
\$150/yr	Life Insurance in plan
\$75	Hourly rate for electronic data manipulation
\$30	Hourly rate for data entry
\$70	Participant distribution/hardship withdrawal/refund fee
\$70	Participant loan origination fee
\$50	Participant loan maintenance fee (\$50 per year of loan repayment)
\$25	Vesting Certification
\$125	Qualifying a Domestic Relations Order (QDRO)
\$40	IRS Form 1099R and Form 6847
\$60	IRS Form 1096 or 945
\$200/hr:	<ul style="list-style-type: none"> • Correction of reports, tests, or forms based on incorrect census from client • Failed compliance test corrections • Mid-year or other additional ADP/ACP test • Audit support (IRS, DOL and large plan Schedule H) • Plan amendments • IRS determination letter submissions • Plan termination • Preparation of IRS Form 5330 • Calculation of alternate form of benefit • One Person 401(k) Plan Implementation, Plan Documents and ongoing Administration